

## ANNUITY SUITABILITY QUESTIONNAIRE

The National Slovak Society of the USA (NSS Life) is required by your state insurance department to ask information that will help determine whether an annuity contract is suitable for your investment goals and financial situation. The questions pertain to your personal situation at the time of this application and to your understanding of the features of the product for which you are applying. This information will not be used for any other purpose and will remain confidential.

**You have the legal right to decline to provide this information. If this is your wish, please read the following statement, sign, date, and return this form with your Annuity Application.**

### Waiver of Annuity Suitability Questionnaire

**No, I will not answer the questions on this Annuity Suitability Questionnaire and I take full responsibility for determining whether the proposed annuity is suitable for me.**

Yes, I agree to answer the questions below and I understand that my responses will be used to evaluate the suitability of an annuity contract. I understand that the National Slovak Society of the USA (NSS Life) may elect not to issue the annuity contract being applied for based on a reasonable determination that the product may not be suitable for me.

**Proposed Annuitant:** \_\_\_\_\_

**Phone #:** \_\_\_\_\_ **Social Security #:** \_\_\_\_\_ **Occupation:** \_\_\_\_\_

**Marital Status:**  Married  Single  Widowed  Divorced **Age:** \_\_\_\_\_

**Primary Financial Objectives:** *(Check all that Apply)*

- Preservation of Capital  Future Income  Wealth Accumulation  Inheritance  Charitable Giving  
 Education Planning  Tax Deferral  Immediate Income

**Time Frame for this Investment:** When will you need the money you are investing in this annuity? *(Check One)*

- 1 year or less  1-3 years  3-7 years  7-10 years  10 years  Never *(money for charity/inheritance)*

**Intended Use of Annuity:** \_\_\_\_\_

**Financial Information:** Annual Household Income: \$ \_\_\_\_\_ Liquid Net Worth: \$ \_\_\_\_\_

**Source of Income:** *(Check all that apply)*  Employment  Investments  Social Security  Retirement  Other

**Source of Funding:** \_\_\_\_\_

**Tax Bracket:** *(Check one)*  10%  15%  25%  28%  33%  35% **Proposed Annuity represents:** \_\_\_\_\_ % of Net Worth

**Do you have any funds available to you in case of emergency?**  Yes  No

**Other relevant information** *(financial constraints, health concerns, long-term care considerations, etc.):* \_\_\_\_\_

#### Existing Accounts:

Are you considering using funds from existing life insurance policy(ies), contract(s), or certificate of deposit(s) to purchase this annuity?  Yes  No

How long has the policy(ies), contract(s), or certificate of deposit(s) been in force? \_\_\_\_\_ # of years

Are there any surrender charges associated with the above-mentioned existing policy(ies), contract(s), or certificate of deposit(s)?  Yes  No  Not Applicable

If yes, what are the current surrender charges? \_\_\_\_\_

Proposed Annuitant Signature \_\_\_\_\_ Date \_\_\_\_\_